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## UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/967,272	09/28/2001	Carlton Bartels	CF-55

CONFIRMATION NO. 6788

1473  
FISH & NEAVE  
1251 AVENUE OF THE AMERICAS  
50TH FLOOR  
NEW YORK, NY 10020-1105

## FORMALITIES LETTER



\*OC000000007320303\*

Date Mailed: 01/17/2002

## NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

05/24/2002 TBESHAH1 00000062 09967272

## Filing Date Granted

02 FC:105

130.00 OP

The U.S. Patent and Trademark Office has received your reply on 01/08/2002 to the Notice to File Missing Parts (Notice) mailed 10/30/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The required items noted below SHOULD be filed along with any items required above. The filing date of this nonprovisional application will be the date of receipt of the items required above.

- The oath or declaration is unsigned.

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

RECEIVED

JAN 23 2002

FISH &amp; NEAVE - PATENT DEPT.

REFERRED TO

NOTED BY

DOCKETED FOR

1/30/2002  
Declaration due 1  
month end of time



CF-55

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Carlton Bartels et al.  
Application No.: 09/967,272 Confirmation No.: 6788  
Filed : September 28, 2001  
For : ELECTRONIC TRADING SYSTEM FOR  
SIMULATING THE TRADING OF CARBON  
DIOXIDE EQUIVALENT EMISSION  
REDUCTIONS AND METHODS OF USE  
Group Art Unit : 2164  
Examiner : Not yet assigned

New York, New York 10020  
May 21, 2002

Box Missing Parts  
Hon. Commissioner for Patents  
P.O. Box 2327  
Arlington, Virginia 22202

RESPONSE TO NOTICE OF INCOMPLETE REPLY

Sir:

Responsive to the Notice of Incomplete Reply  
mailed January 17, 2002, applicants hereby submit the  
following:

1. A copy of the January 17, 2002 Notice of Incomplete Reply;
2. A Declaration and Power of Attorney executed by Jane Bartels, legal representative for deceased inventor Carlton Bartels;
3. A Declaration and Power of Attorney executed by Shelby White, legal co-representative for deceased inventor Adam White;

4. A Declaration and Power of Attorney executed by Melissa Turnage, legal co-representative for deceased inventor Adam White;
5. A check in the amount of \$130.00 in payment of the late oath or declaration surcharge; and
6. A check in the amount of \$1960.00 in payment of the extension fee for a response within the fifth month.

Please authorize the Director of the U.S. Patent and Trademark Office to credit any overpayment or charge any deficiencies in connection with this Response to Deposit Account No. 06-1075. A duplicate copy of this paper is enclosed herewith.

Respectfully submitted,



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5-24-5

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PATENTS  
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Arlington, Virginia 22202

EXPRESS MAIL CERTIFICATION

Express Mail Label No. EV079959202US

Date of Deposit: May 21, 2002

I hereby certify that this certification and the following papers and fees:

1. Response to Notice of Incomplete Reply (in duplicate);
2. A copy of the January 17, 2002 Notice of Incomplete Reply (Nonprovisional);
3. A Declaration and Power of Attorney executed by Jane Bartels, legal representative for deceased inventor Carlton Bartels;
4. A Declaration and Power of Attorney executed by Shelby White, legal co-representative for deceased inventor Adam White;

5. A Declaration and Power of Attorney executed by Melissa Turnage, legal co-representative for deceased inventor Adam White;
6. A check in the amount of \$130.00 in payment of the late oath or declaration surcharge; and
7. A check in the amount of \$1960.00 in payment of the extension fee for a response within the fifth month.

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and are addressed to Box Missing Parts, Hon. Commissioner for Patents, P.O. Box 2327, Arlington, Virginia 22202.

  
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Name: William Bailey